



12/04/01

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**CERTIFICATE OF EXPRESS MAILING

I hereby certify that this paper and the documents and/or fees referred to as attached therein are being deposited with the United States Postal Service on December 4, 2001, in an envelope as "Express Mail Post Office to Addressee" service under 37 CFR §1.10, Mailing Label Number **EL898671897US**, addressed to the Commissioner for Patents, Washington, DC 20231.

Attorney Docket No.: NMCIP027

First Named Inventor: Yasuhiro Tani, et al.

  
 Mary Tran

**UTILITY PATENT APPLICATION TRANSMITTAL (37 CFR. § 1.55)**

(Regular application claiming priority of a foreign application)

Commissioner for Patents  
 Box Patent Application  
 Washington, DC 20231

Sir: This is a request for filing a patent application under 37 CFR. § 1.55 in the name of inventors:  
**Yasuhiro Tani and Lu Yishen**

For: **POLISHING AGENT, METHOD OF PRODUCING SAME, AND METHOD OF POLISHING**

Assigned to: Nihon Microcoating Co., Ltd.

Priority of prior Japan Application No. 2000-120398 filed on April 21, 2000; and PCT/Japan Application No. PCT/JPO1/02364 filed on March 23, 2001, are claimed under 35 U.S.C. § 1.55.

Application Elements:

- ☒ 11 Pages of Specification, Claims and Abstract
- ☒ 6 Sheets of informal Drawings
- ☒ Combined Declaration and Power of Attorney
- ☐ Separate Declaration

Accompanying Application Parts:

- ☐ Do not publish this application. Nonpublication Request is attached.
- ☒ Assignment and Assignment Recordation Cover Sheet (recording fee of \$40.00 enclosed)
- ☐ Separate Power of Attorney by Assignee
- ☐ 37 CFR 3.73(b) Statement by Assignee
- ☐ Information Disclosure Statement with Form PTO-1449
- ☐ Copies of IDS Citations
- ☐ Preliminary Amendment
- ☒ Return Receipt Postcard

Claim For Foreign Priority

☒ Priority of Japan Application No. 2000-120398 filed on April 21, 2000; and PCT/Japan Application No. PCT/JPO1/02364 filed on March 23, 2001 are claimed under 35 U.S.C. § 119.

☐ The certified copy is enclosed.

☒ The certified copies will follow.

☐ Amend the specification by inserting before the first line the sentence:

“This application claims the benefit of U.S. Provisional Application No. filed on , the disclosure of which is incorporated herein by reference.”

Fee Calculation (37 CFR § 1.16)

☒ Applicant is entitled to Small Entity Status under 37 C.F.R. §1.27.

	(Col. 1) Total Claims		(Col. 2) Claims	(Col. 3) Present Extra	Rate	Additional Fee
TOTAL	9	MINUS	20	= 0	x 18 =	0
INDEP.	1	MINUS	3	= 0	x 84 =	0
[ ] First presentation of multiple dependent claim					\$280 =	
Basic Filing Fee under 37 C.F.R. §1.16(a)					\$740 =	740.00
TOTAL						
SMALL ENTITY 50% FILING FEE REDUCTION (if applicable)						370.00

☒ Check No. 5056 in the amount of \$410 is enclosed.

☒ The Commissioner is authorized to charge any fees beyond the amount enclosed which may be required, or to credit any overpayment, to Deposit Account No. 500388 (Order No. NMCIP027).

General Authorization for Petition for Extension of Time (37 CFR §1.136)


☒ Applicants hereby make and generally authorize any Petitions for Extensions of Time as may be needed for any subsequent filings. The Commissioner is also authorized to charge any extension fees under 37 CFR §1.17 as may be needed to Deposit Account No. 500388 (Order No. NMCIP027).

☒ Please send correspondence to the following address:

**Customer Number 022434**



Date: December 4, 2001

  
**Keiichi Nishimura**  
Registration No. 29,093